

11-60.3  
12/c1796-1  
TEMStephen Matus  
Special Program Examiner  
Group 3700  
10/31/03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Hong You WANG, et al. ) Examiner: Willie Wendel Berry Jr.  
Serial No. 09/811,417 ) Group Art Unit: 3723  
Filed: March 20, 2001 ) Date: June 27, 2003  
For: ROTARY DRIVE DEVICE OF )  
A POLISHING DEVICE )

AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action mailed April 23, 2003, please amend the  
subject application as follows:

BEST AVAILABLE COPY

Duplicate Accepted  
Mail date = June 27, 2003

<b>FEE TRANSMITTAL for FY 2003 (Large Entity)</b>		Complete if Known	
		Application Number	09/811,417
		Filing Date	March 20, 2001
		First Named Inventor	Hong You WAN et al.
		Examiner Name	W.W. Berry, Jr.
		Group Art Unit	3723
Total Amount of Payment	(\$84.00)	Attorney Docket Number	1796-157

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status

2. ☒ Payment Enclosed

☒ Deposit Account

☐ Credit Card

## FEE CALCULATION

## 1. FILING FEE

Fee Code	Fee \$	Fee Description	Fee Paid
1001	750	Utility filing fee	[ ]
1002	330	Design Filing Fee	[ ]
1003	520	Plant Filing Fee	[ ]
1004	750	Reissue Filing Fee	[ ]
1005	160	Provisional Filing Fee	[ ]

**SUBTOTAL \$**

## 2. CLAIMS

Total Claims	Extra Claims	Fee	Fee Paid
[ 15 ] - 20** = [ 0 ] x		\$18 = [ ]	
Independent Claims [ 4 ] - 3** = [ 1 ] x		84 = [ 84 ]	
Multiple Dependent Claims +		280 = [ ]	

\*\*or number previously paid, if greater;

**SUBTOTAL \$84.00**

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Fee Paid	Fee Description	Fee Paid
1051	130	Surcharge - late filing fee or oath	[ ]
1052	50	Surcharge - late provisional filing fee or cover sheet	[ ]
1053	130	Non-English specification	[ ]
1812	2,520	For filing a request for reexamination	[ ]
1804	920	Requesting publication of SIR prior to Examiner action	[ ]
1804	1,840*	Requesting publication of SIR after Examiner action	[ ]
1251	110	Extension for reply within first month	[ ]
1252	410	Extension for reply within second month	[ ]
1253	930	Extension for reply within third month	[ ]
1254	1,450	Extension for reply within fourth month	[ ]
1255	1,970	Extension for reply within fifth month	[ ]
1401	320	Notice of Appeal	[ ]
1402	320	Filing a brief in support of an appeal	[ ]
1403	280	Request for Oral Hearing	[ ]
1451	1,510	Petition to institute a public use proceeding	[ ]
1452	110	Petition to revive - unavoidable	[ ]
1453	1,300	Petition to revive - unintentional	[ ]
1501	1,300	Utility issue fee (or reissue)	[ ]
1502	470	Design issue fee	[ ]
1503	630	Plant issue fee	[ ]
1460	130	Petitions to the Commissioner	[ ]
1807	50	Processing fee under 37 CFR 1.17 (q)	[ ]
1806	180	Submission of Information Disclosure Statement	[ ]
8021	40	Recording each patent assignment per property (times number of properties)	[ ]
1809	750	Filing a submission after final rejection (37 CFR 1.129(a))	[ ]
1810	750	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
1801	750	Request for Continued Examination (RCE)	[ ]
1802	900	Request for expedited examination of a design application	[ ]
1504	300	Publication fee for early, voluntary or normal publication	[ ]
1505	300	Publication fee for republication	[ ]
1455	200	Filing application for patent term adjustment	[ ]
1456	400	Request for reinstatement of term reduced	[ ]
		Other fee (specify)	[ ]

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL \$**

SUBMITTED BY		Complete (if applicable)	
NAME AND REG. NUMBER	Thomas E. McKiernan, Reg. No. 37,889		
SIGNATURE	DATE	DEPOSIT ACCOUNT USER ID	
<i>Thomas E. McKiernan</i>	27 JUN 03		

Rothwell, Figg, Ernst &amp; Manbeck, 1425 K St NW, Ste 800, Wash, DC 20005(202-783-6040)

## PAT-PTO RECEIPT



TO BE DATE-STAMPED BY THE U.S. PATENT AND TRADEMARK OFFICE AS ACKNOWLEDGMENT OF RECEIPT BY IT OF THE FOLLOWING:

## FEE TRANSMITTAL FORM &amp; AMENDMENT

<input checked="" type="checkbox"/> FEE(S) PAID: 384	<input checked="" type="checkbox"/> CHECK	<input checked="" type="checkbox"/> EP ACCT 02-2135
IN REGARD TO		
ATTORNEY DOCKET NO.: 1796-157	SERIAL NO.: 09/811,417	PATENT NO.:
FIRST-NAMED INVENTOR: H.Y. WANG et al	FILING DATE: March 20, 2001	ISSUE DATE:
ATTORNEY/ASSISTANT: GFR/TEM	DUE DATE: 7/23/03	

Date-Stamp Here

8 of 12  
X

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